## $\mathscr{L}$ ogarithmic $\mathscr{L}$ attices

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## CWI

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## 2 Settings

## Continuous setting:

$\Lambda \subset \mathbb{C}^{n}:$ a lattice,
$\odot$ : component-wise product on $\mathbb{C}^{n}$.

$$
\begin{aligned}
\operatorname{Exp}_{\Lambda} & : \vec{v} \in \mathbb{C}^{n} \mapsto\left(\exp \left(v_{1}\right), \ldots, \exp \left(v_{n}\right)\right) \odot \Lambda \\
\mathscr{L} & =\left\{v \in \mathbb{C}^{n} \text { s.t. } \operatorname{Exp}_{\Lambda}(v)=\Lambda\right\} .
\end{aligned}
$$

## Discrete setting:

$\mathfrak{B}=\left\{\mathfrak{p}_{1}, \ldots \mathfrak{p}_{n}\right\} \subset K^{\times}$: a set of primes of a field $K$.
$[\cdot]: K^{\times} \rightarrow G$, a multiplicative morphism to a finite abelian group $G$.

$$
\begin{aligned}
\operatorname{Exp}_{\mathfrak{B}} & : \vec{v} \in \mathbb{Z}^{n} \mapsto\left[\prod \mathfrak{p}_{i}^{v_{i}}\right] \\
\mathscr{L} & =\left\{v \in \mathbb{Z}^{n} \text { s.t. } \operatorname{Exp}_{\mathfrak{B}}(v)=I d_{G}\right\} .
\end{aligned}
$$

## Logarithm Problem

Logarithms are only defined $\bmod \mathscr{L}$ :

$$
\begin{aligned}
& \operatorname{Exp}_{\mathfrak{B}}(x)=\operatorname{Exp}_{\mathfrak{B}}(y) \Leftrightarrow x \in y+\mathscr{L} \\
& \log _{\mathfrak{B}}(g):=\operatorname{Exp}_{\mathfrak{B}}^{-1}(g)=x+\mathscr{L} \text { s.t. } \operatorname{Exp}_{\mathfrak{B}}(x)=g
\end{aligned}
$$

## Hidden Subgroup Problem

Find the lattice $\mathscr{L}$ (a set of generators of $\mathscr{L}$ ).
(typically: find one non-zero vector $\Rightarrow$ find the whole lattice)
Classically: Index Calculus Methods, Quantumly: [Eisentrger Hallgren Kitaev Song 14]

## Discrete Logarithm Problem $\bmod p$

$R=\mathbb{Z}, g, h \in(\mathbb{Z} / p \mathbb{Z})^{\times},[\cdot]: x \mapsto x \bmod p, \mathscr{L}=(p-1) \mathbb{Z}$ is known.
DLP: Find a representative $x \in \log (g)$

## Short Logarithm Problems ?

## ... non-zero vector in a lattice (coset) ...

## Non-zero vector in a lattice, you said ?

## How short can it be ? Can it be found efficiently ?

> Fair question, but why would that matter ?

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## Short Logarithm Problems?

## Example (DLP over $\left.(\mathbb{Z} / p \mathbb{Z})^{\times}\right)$

$\operatorname{dim} \mathscr{L}=1$ : Shortest solution trivially found...

## Example (Inside Index Caculus)

Step 1 (relation collection) find many vectors $M=\left(v_{1} \ldots v_{m}\right) \in \mathscr{L}$. Step 2 (linear algebra) Solve the linear system $M x=y$.

Step 2 is faster if $M$ is sparse: we want to make $M$ "shorter" ! But $\operatorname{dim} \mathscr{L}=$ HUGE: limited to ad-hoc micro improvements.

More interesting cases for lattice theoretician and algorithmicians ?

## 3 encounters with $\mathscr{L}$ ogarithmic $\mathscr{L}$ attices

[Cramer D. Peikert Regev 16]: Dirichlet's Unit lattice
[Cramer D. Wesolowsky 17]: Stickelberger's Class-relation lattice

> Summary: These lattices admits a known almost-orthogonal basis $\Rightarrow$ Can use lattice algorithm to solve 'short-DLP'
> $\Rightarrow$ Break some crypto

[Chor Rivest '89]: Logarithmic lattices over ( $\mathbb{Z} / p \mathbb{Z}$ )
Summary: Make certain 'short-DLP' easy by design, get an efficiently decodable lattice, hide it for Crypto
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# Part 1: <br> The $\mathscr{L}$ ogarithmic $\mathscr{L}$ attice of cyclotomic units 



## Part 2:

Short Stickelberger's $\mathscr{C l}$ lass relations


Part 3:
Chor-Rivest dense $\mathscr{S}$ phere- $\mathscr{P}$ acking with efficient decoding

For a Survey on 1 and 2, see [D. '17],
http://www.nieuwarchief.nl/serie5/pdf/naw5-2017-18-3-184.pdf

## Part 1: <br> The $\mathscr{L}$ ogarithmic $\mathscr{L}$ attice of cyclotomic units

## Ideals and Principal Ideals

Cyclotomic number field: $K\left(=\mathbb{Q}\left(\omega_{m}\right)\right)$, ring of integer $R=\mathscr{O}_{K}\left(=\mathbb{Z}\left[\omega_{m}\right]\right)$.

## Definition (Ideals)

- An integral ideal is a subset $\mathfrak{h} \subset \mathscr{O}_{K}$ closed under addition, and by multiplication by elements of $\mathscr{O}_{K}$,
- A (fractional) ideal is a subset $\mathfrak{f} \subset K$ of the form $\mathfrak{f}=\frac{1}{x} \mathfrak{h}$, where $x \in \mathbb{Z}$,
- A principal ideal is an ideal $\mathfrak{f}$ of the form $\mathfrak{f}=g \mathscr{O}_{K}$ for some $g \in K$. In particular, ideals are lattices.

We denote $\mathscr{F}_{K}$ the set of fractional ideals, and $\mathscr{P}_{K}$ the set of principal ideals.

## The Problem

## Short generator recovery

Given $h \in R$, find a small generator $g$ of the ideal ( $h$ ).
Note that $g \in(h)$ is a generator iff $g=u \cdot h$ for some unit $u \in \mathbb{R}^{\times}$. We need to explore the (multiplicative) unit group $R^{\times}$.

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## Translation an to additive problem

Take logarithms:

$$
\log : g \mapsto\left(\log \left|\sigma_{1}(g)\right|, \ldots, \log \left|\sigma_{n}(g)\right|\right) \in \mathbb{R}^{n}
$$

where the $\sigma_{i}$ 's are the canonical embeddings $\mathbb{K} \rightarrow \mathbb{C}$.

## The Unit Group and the log-unit lattice

Let $R^{\times}$denotes the multiplicative group of units of $R$. Let

$$
\Lambda=\log R^{\times} .
$$

## Theorem (Dirichlet unit Theorem)

$\Lambda \subset \mathbb{R}^{n}$ is a lattice (of a given rank).

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## Reduction to a Close Vector Problem

Elements $g$ is a generator of $(h)$ if and only if

$$
\log g \in \log h+\Lambda
$$

Moreover the map Log preserves some geometric information: $g$ is the "smallest" generator iff $\log g$ is the "smallest" in Log $h+\Lambda$.

## Example: Embedding $\mathbb{Z}[\sqrt{2}] \hookrightarrow \mathbb{R}^{2}$



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- x-axis: $\sigma_{1}(a+b \sqrt{2})=a+b \sqrt{2}$
- $y$-axis: $\sigma_{2}(a+b \sqrt{2})=a-b \sqrt{2}$
- component-wise additions and multiplications

■ "Orthogonal" elements

- Units (algebraic norm 1)
- "Isonorms" curves


## Example: Logarithmic Embedding $\log \mathbb{Z}[\sqrt{2}]$

$(\{\bullet\},+)$ is a sub-monoid of $\mathbb{R}^{2}$


## Example: Logarithmic Embedding Log $\mathbb{Z}[\sqrt{2}]$

$\Lambda=(\{\bullet\},+) \cap \backslash$ is a lattice of $\mathbb{R}^{2}$, orthogonal to $(1,1)$


## Example: Logarithmic Embedding $\log \mathbb{Z}[\sqrt{2}]$

$\{\bullet\} \cap \backslash$ are shifted finite copies of $\Lambda$


## Reduction modulo $\Lambda=\log \mathbb{Z}[\sqrt{2}]^{\times}$

The reduction $\bmod \Lambda$ for various fundamental domains.


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## Strategy

A two-step approach was suggested in [Bernstein '14, Cambell Groves Shepherd '14]:

- Use fancy quantum algorithm to recover any generator $h$ [Eisenträger Hallgren Kitaev Song '14, Biasse Song '16]
- Reduce modulo units to obtain a short generator [Cramer D. Peikert Regev '16]
For the analysis of the second step we need an explicit basis of the units of $\mathbb{Z}[\omega]$. It is (almost) given by the set

$$
u_{i}=\frac{1-\omega^{i}}{1-\omega} \text { for } i \in(\mathbb{Z} / m \mathbb{Z})^{\times}
$$

## Almost Orthogonal

Using techniques from Analytic Number Theory (bounds on Dirichlet $L$-series), we can prove that the basis $\left(\log u_{i}\right)_{i}$ is almost orthogonal. Implies efficient algorithms for

- Bounded Distance Decoding problem (BDD)
- Approximate Close Vector Problem (approx-CVP) for interesting parameters.


## Short Generator Recovery, BDD setting

If there exists an unusually short generator $g$ (as in certain crypto settings), we can recover it in classical poly-time from any generator $h=u g$.

## Short Generator Recovery, worst-case

For any generator $h$, we can recover a generator $g$ of length at most $\exp (\tilde{O}(\sqrt{n}))$ larger than the shortest vector of $(h)$.

## Comparison with General lattices

## General Lattices



## Principal Ideal lattices



## Comparison with General lattices

## General Lattices



## Principal Ideal lattices



Can we remove the Principality condition ?

## Part 2:

Short Stickelberger's Class relations

## The obstacle: the Class Group

Ideals can be multiplied, and remain ideals:

$$
\mathfrak{a b}=\left\{\sum_{\text {finite }} a_{i} b_{i}, \quad a_{i} \in \mathfrak{a}, b_{i} \in \mathfrak{b}\right\} .
$$

The product of two principal ideals remains principal:

$$
\left(a \mathscr{O}_{K}\right)\left(b \mathscr{O}_{K}\right)=(a b) \mathscr{O}_{K} .
$$

## form an abelian group ${ }^{1}, \mathscr{P}_{K}$ is a subgroup of it.

## Definition (Class Group)

Their quotient forms the class group $\mathrm{Cl}_{K}=\mathscr{F}_{K} / \mathscr{P}_{K}$ The class of an ideal $\mathfrak{a} \in \mathscr{F}_{K}$ is denoted $[\mathfrak{a}] \in \mathrm{Cl}_{K}$
$\square$

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An ideal $\mathfrak{a}$ is principal iff $[\mathfrak{a}]=\left[\mathscr{O}_{K}\right]$.
${ }^{1}$ with neutral element $\mathscr{O}_{K}$

## The problem: Reducing to the principal case

## Definition (The Close Principal Multiple problem)

- Given an ideal $\mathfrak{a}$, and an factor $F$
- Find a small integral ideal $\mathfrak{b}$ such that $[\mathfrak{a b}]=\left[\mathscr{O}_{K}\right]$ and $N \mathfrak{b} \leq F$

Note: Smallness with respect to the Algebraic Norm $N$ of $\mathfrak{b}$, (essentially the volume of $\mathfrak{b}$ as a lattice).

Choose a factor basis $\mathfrak{B}=\left\{\mathfrak{p}_{1} \ldots \mathfrak{p}_{n}\right\}$ and restrict the search to $\mathfrak{b}$ of the form $\mathfrak{b}=\prod \mathfrak{p}_{i}^{v_{i}}$. I.e. solve the short discrete-logarithm problem

$$
\vec{v} \in \log _{\mathfrak{B}}\left([\mathfrak{a}]^{-1}\right)
$$

## How to solve it?

Again, two steps:

- Find an arbitrary solution $\vec{v} \in \log _{\mathfrak{B}}\left([\mathfrak{a}]^{-1}\right)$ [Eisentrager Kitaev Hallgren Song '14, Biasse Song '16]
- Reduce it modulo $\mathscr{L}$ ?

But do we even know $\mathscr{L}=\log _{\mathfrak{B}}\left(\left[\mathscr{O}_{K}\right]\right)$ ?

## Yes, we know $\mathscr{L}$ ! (Well Almost)

For a well chosen factor basis, e.g. $=\{\sigma(\mathfrak{p}), \sigma \in G:=\operatorname{Gal}(K / \mathbb{Q})\}, \mathscr{L}$ is almost given by Stickelberger:

## Definition (The Stickelberger ideal)

The Stickelberger element $\theta \in \mathbb{Q}[G]$ is defined as

$$
\theta=\sum\left(\frac{a}{m} \bmod 1\right) \sigma_{a}^{-1} \quad \text { where } G \ni \sigma_{a}: \omega \mapsto \omega^{a} .
$$

The Stickelberger ideal is defined as $S=\mathbb{Z}[G] \cap \theta \mathbb{Z}[G]$.

## Theorem (Stickelberger's theorem)

The Stickelberger ideal annihilates $\mathrm{Cl}: \forall e \in S, \mathfrak{a} \subset K:\left[\mathfrak{a}^{\mathrm{e}}\right]=\left[\mathscr{O}_{K}\right]$. In particular, if $\mathfrak{B}=\left\{\mathfrak{p}^{\sigma}, \sigma \in G\right\}$, then $S \subset \mathscr{L}$.

Turn-out: the natural basis of $S$ is almost orthogonal... Again

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Turn-out: the natural basis of $S$ is almost orthogonal... Again!

## Approx-Ideal-SVP in poly-time for large $\alpha$

## [Cramer D. Wesolowsky '17] CPM via Stickelberger Short Class Relation

$\Rightarrow$ Approx-Ideal-SVP solvable in Quantum poly-time, for

$$
\mathscr{R}=\mathbb{Z}\left[\omega_{m}\right], \quad \alpha=\exp (\tilde{O}(\sqrt{n}))
$$

## General Lattices

 Ideal lattices

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## General Lattices



## Ideal lattices



## Takeaway: Dual viewpoint (Caley-Graphs and Lattices)

$$
\begin{gathered}
\mu: \vec{v} \in \mathbb{Z}^{2} \mapsto v_{1}+2 v_{2} \bmod 5, \Lambda=\operatorname{ker} \mu, \\
\text { then } \mathbb{Z} / 5 \mathbb{Z} \simeq \mathbb{Z}^{2} / \Lambda
\end{gathered}
$$

Cayley-Graph $(\mathbb{Z} / 5 \mathbb{Z},\{1,2\})$


Distance
Diameter
Shortest loop
Mixing time
$\mathbb{Z}^{\{1,2\}} / \Lambda$

$\ell_{1}$-distance $\bmod \Lambda$ Covering radius Minimal vector Smoothing parameter

## Part 3:

Chor-Rivest dense $\mathscr{S}$ phere- $\mathscr{P}$ acking with efficient decoding

## Dense Lattice with Efficient Decoding

Construct a lattice $\mathscr{L}$ together with an efficient decoding algorithm for $\mathscr{L}$

## Bounded Distance Decoding with radius $r$

- Given $t=v+e$ where $v \in \mathscr{L}$ and $\|e\| \leq r$
- Recover $v$ and/or $e$

The problem can only be solved up to half the minimal distance:

$$
r \leq \lambda_{1}(\mathscr{L}) / 2
$$

(otherwise solution are not uniques). We would like to find a lattice for which the above can be done efficiently up to $r$ close to Minkowsky's bound:

$$
\begin{gathered}
\lambda_{1}^{(1)}(\mathscr{L}) \leq O(n) \cdot \operatorname{det}(\mathscr{L})^{-1 / n} \\
\lambda_{1}^{(2)}(\mathscr{L}) \leq O(\sqrt{n}) \cdot \operatorname{det}(\mathscr{L})^{-1 / n}
\end{gathered}
$$

## Chor-Rivest Cryptosystem and Friends

[Chor Rivest '89]: First knapsack-based cryptosystem that was not devastated. Idea:

- Subset-sums is hard
- Subset-product is easy (factoring numbers knowing potential factors)
- Take logarithm to disguise the later as the former, get crypto.

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\begin{aligned}
& \text { Variants of the cryptosystem by [Lenstra '90, Li Ling Xing Yeo '17]. } \\
& \text { Originally over finite-field polynomials } \mathbb{F}_{p}[X] \text {, but variants also exists over } \\
& \text { the integers: [Naccache Stern '97, Okamoto Tanaka Uchiyama '00]. }
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[Brier Coron Geraud Maimut Naccache '15]: Remove crypto from [NS'97], get a good decodable binary code.
[D. Pierrot '18]: Remove crypto from [OTU '00], get a good decodable lattice.

## Chor-Rivest Lattice

Choose a factor basis of small primes, coprimes to $Q=3^{k}$ : $\mathfrak{B}=\left\{2,5,7,11,13, \ldots, p_{n}\right\} \subset \mathbb{Z},[\cdot]: x \mapsto x \bmod Q$.

$$
\mathscr{L}=\left\{v \in \mathbb{Z}^{n} \text { s.t. } \prod p_{i}^{v_{i}}=1 \bmod Q\right\}
$$

$\operatorname{dim} \mathscr{L}=n, \operatorname{det} \mathscr{L} \leq \phi(Q) \leq Q$. Note that $p_{n} \sim n \log n$.

## Decoding Chor-Rivest Lattice (positive errors)

If $p_{n}^{r}<Q$ then one can decode integral positive errors up to $\ell_{1}$ radius $r$ in the lattice $\mathscr{L}$. That is:

- given $t=v+e$, for $v \in \mathscr{L}$ and $e \in \mathbb{Z}_{\geq 0}^{n},\|e\|_{1} \leq r$
- we can efficiently recover $v$ and $e$.

Compute

$$
f=\prod p_{i}^{t_{i}} \bmod Q=\prod p_{i}^{v_{i}} \prod p_{i}^{e_{i}} \bmod Q=\prod p_{i}^{e_{i}} \bmod Q
$$

The last product is in fact known over $\mathbb{Z}$, not just $\bmod Q$, since $\prod p_{i}^{e_{i}}<Q$. Factorize $f$ (efficient trial division by $2,5, \ldots, p_{n}$ ), recover $e$, then $v$.

## Decoding Chor-Rivest Lattice

Now assume $2 \cdot p_{n}^{r}<\sqrt{Q}$.

$$
f=\prod_{i \text { s.t. } e_{i}>0}^{n} p_{i}^{e_{i}} \cdot \prod_{i \text { s.t. } e_{i}<0} p_{i}^{e_{i}}=u / v \quad \bmod Q
$$

To recover $u=\prod_{i \text { s.t. } e_{i}>0}^{n} p_{i}^{e_{i}}$ and $v=\prod_{i \text { s.t. } e_{i}<0} p_{i}^{-e_{i}}$ not only modulo $Q$ but in $\mathbb{Z}$, we use the following lemma.

## Lemma (Rational reconstruction $\bmod Q$ )

If $u, v$ are positive coprime integers and invertible modulo $m$ such that $u, v<\sqrt{m / 2}$, and if $f=u / v$ mod $m$, then $\pm(u, v)$ are the shortests vector of the 2-dimensional lattice

$$
L=\left\{(x, y) \in \mathbb{Z}^{2} \mid x-f y=0 \bmod Q\right\} .
$$

In particular, given $f$ and $m$, one can recover $(u, v)$ in polynomial time.

## Asymptotic parameters

Choose $k=n$. This gives

$$
r^{(1)}=\Theta(n / \log n)=\Theta(n / \log n) \operatorname{det}(\mathscr{L})^{-1 / n} .
$$

Compare to Minkowsky's bound in $\ell_{1}$ norm:

$$
\lambda_{1}^{(1)}(\mathscr{L}) \leq O(n) \cdot \operatorname{det}(\mathscr{L})^{-1 / n}
$$

By norm inequality this directly imply decoding in $\ell_{2}$-norm for a radius

$$
r^{(2)}=\Theta(\sqrt{n} / \log n)=\Theta(\sqrt{n} / \log n) \operatorname{det}(\mathscr{L})^{-1 / n}
$$

Compare to Minkowsky's bound in $\ell_{2}$ norm:


## Asymptotic parameters

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$$

Compare to Minkowsky's bound in $\ell_{2}$ norm:

$$
\lambda_{1}^{(2)}(\mathscr{L}) \leq O(\sqrt{n}) \cdot \operatorname{det}(\mathscr{L})^{-1 / n}
$$

## A paradoxical result ?

To the best of our knowledge, the best lattice with efficient BDD was Barnes-Wall, with BDD up to a radius $O(\sqrt[4]{n})$ away from Minkowsky's bound [Micciancio Nicolesi '08] ( $\ell_{2}$ norm).

We are only $O(\log n)$ away from Minkowsky's bound, but this result is strange:

- We can construct $\mathscr{L}$ efficiently.
- We can solve BDD efficiently in $\mathscr{L}$
- We don't know how to find short vectors in $\mathscr{L}$...


## The last mile ?

We are still $O(\log n)$ away from Minkowsky's bound...
The issue is that we do not have enough small primes.
To get down to $O(1)$ away from Minkowsky's bound, we need

$$
n \text { primes of 'size' } O(1) \text {. }
$$

- Switching back from $\mathbb{Z}$ to $\mathbb{F}_{p}[X]$ does not solve improve this loss
- Elliptic curves could ?
- Connection with Mordel-Weil lattices ? [Shioda '91, Elkies '94]


# $\mathscr{T}$ hanks for your interest. 

## 2) uestions ?

$\mathscr{O}$ ther $\mathscr{L}$ ogarithmic $\mathscr{L}$ attices of interest ?

# $\mathscr{T}$ hanks for your interest. 

## $\mathscr{Q}_{\text {uestions ? }}$

$\mathscr{O}$ ther $\mathscr{L}$ ogarithmic $\mathscr{L}$ attices of interest?

